

(Original verbleibt beim Vermittler/Berater)

Im Wertpapierbereich für Sie zuständig:

TUENDUM Gesellschaft für Investmentberatung mbH Firma	Sven Stopka Vermittler/Berater
Fleehook 2 Strasse, Hausnummer	48683 Ahaus PLZ, Ort
+49 2561 958920 Telefon	+49 2561 9589210 Telefax
kontakt@tuendum-investment.com E-Mail	Geschäftsführung Funktion

Der **Honorar-Finanzanlagenberater** hat eine Erlaubnis nach § 34 h Absatz 1 Satz 1 Nr. 1* Nr. 2** Nr. 3*** der Gewerbeordnung und ist mit der Registrierungsnummer **D-H-156-YEHB-99** bei der für die Erlaubnis nach § 34 h Absatz 1 der Gewerbeordnung zuständigen, folgenden Behörde inklusive vollständiger Adresse eingetragen:

Industrie- und Handelskammer Nord Westfalen, Ortsteil Geist, Sentmaringer Weg 61, 48151 Münster

Telefon: 0251 707-0, Telefax: 0251 707-325

E-Mail: infocenter@ihk-nordwestfalen.de

* Investmentfonds ** Geschlossene Fonds (KG-Form) *** Sonstige Vermögensanlagen (Genossenschaftsanteile, Genussrechte, etc.)

Diese Angaben können überprüft werden unter:

Registerstelle des Vermittler-Registers: Deutscher Industrie- und Handelskammertag e.V., Breite Straße 29, 10178 Berlin
Auskunft über Tel: 0180 6 00 58 50 (Festnetzpreis 0,20/Anruf; Mobilfunkpreise maximal 0,60/Anruf) oder www.vermittlerregister.info

Sofern Sie mit unseren Dienstleistungen einmal nicht zufrieden sein sollten, können Sie folgende Stellen als außergerichtliche Schlichtungsstellen kontaktieren:

Ombudsstelle des BVI, Bundesverband Investment und Asset Management e.V.: Unter den Linden 42, 10117 Berlin
Tel: +49 30 6 44 90 46-0, info@ombudsstelle-investmentfonds.de, www.ombudsstelle-investmentfonds.de

Ombudsstelle für Sachwerte und Investmentvermögen e.V.: Postfach 64 02 22, 10048 Berlin
Tel: 030 257 616 90, info@ombudsstelle.com

Die von uns angebotenen Emittenten/Versicherungsgesellschaften und Anbieter finden Sie in der Anlage der Kundeninformation.

Die Information wurde ausgehändigt an:

Name, Vorname 1. Depotinhaber (Kunde/n)/1. gesetzlicher Vertreter/1. Geschäftsführer

Strasse, Hausnummer

PLZ, Ort

Name, Vorname 2. Depotinhaber (Kunde/n)/2. gesetzlicher Vertreter/2. Geschäftsführer

Strasse, Hausnummer

PLZ, Ort

Im Zusammenhang mit der Anlageberatung oder -vermittlung erfolgt die Vergütung ausschließlich durch den Anleger. Die Vergütung erfolgt entsprechend einer gesonderten Vergütungsvereinbarung, **die vor Beginn der Tätigkeit vereinbart wird**. Eventuelle Zuwendungen Dritter werden dem Anleger entweder zeitnah **vergütet** oder mit dem Honorar verrechnet.

Freitextfeld für weitere Bemerkungen:

X

Ort, Datum

Unterschrift des 1. Kunden

Unterschrift des 2. Kunden

X

Ort, Datum

Unterschrift Vermittler/Berater

Sicherheitsnummer

Datum/Uhrzeit 26.05.2020 12:49

AUFLISTUNG ZU DEN VON UNS ANGEBOTENEN EMITTENTEN/VERSICHERUNGS-GESELLSCHAFTEN UND ANBIETERN

Investmentfonds

Aachener Grundvermögen Kapitalverwaltungsgesellschaft mbH	Abalone Asset Management Ltd	Aberdeen Asset Management Deutschland AG
Aberdeen Fund Managers Limited	Aberdeen Global Services S.A.	Aberdeen Standard Investments Luxembourg S.A.
ABN AMRO Investment Solutions	Absolute Insight Funds p.l.c.	ACATIS Investment Kapitalverwaltungsgesellschaft mbH
Accuro Fund Solutions AG	AFFM S.A.	Ahead Wealth Solutions AG
Alceda Fund Management S.A.	Algebris UCITS Funds plc	AllianceBernstein (Luxembourg) S.à r.l.
Allianz Global Investors GmbH	Allianz Invest Kapitalanlagegesellschaft mbH	Alma Capital Investment Management
ALTE LEIPZIGER Trust Investment-Gesellschaft mbH	AMADEUS CAPITAL VISION PLC	Ampega Investment GmbH
Amundi Asset Management	Amundi Austria GmbH	Amundi Deutschland GmbH
Amundi Immobilien S.A.	Amundi Luxembourg S.A.	Anaxis Asset Management S.A.S.
ANIMA Funds plc	Architas Multi-Manager Europe Limited	Artemis Fund Managers Limited
Assenagon Asset Management S.A.	Atlantis Investment Management (Ireland) Limited	Augeo Capital Management S.A.
AVANA Investmentaktiengesellschaft mit Teilgesellschaftsvermögen	Aviva Investors Luxembourg	AXA Funds Management S.A.
AXA Investment Managers Deutschland GmbH	AXA Investment Managers Paris	AXA Rosenberg Equity Alpha Trust
AXXION S.A.	AZ Fund Management S.A.	Baillie Gifford Worldwide Funds plc
Bank Austria Real Invest Immobilien-Kapitalanlage GmbH	Banque et Caisse d'Épargne de l'État, Luxembourg	Bantleon AG
Bantleon Invest S.A.	Baring Fund Managers Limited	Baring International Fund Managers (Ireland) Limited
BayernInvest Kapitalverwaltungsgesellschaft mbH	BayernInvest Luxembourg S.A.	Belfius Investment Partners
BIL Manage Invest S.A.	BlackRock Asset Management Deutschland AG	BlackRock Asset Management Ireland Limited
BlackRock Asset Management Schweiz AG	BlackRock Fund Managers Limited	BlackRock (Luxembourg) S.A.
BLI - Banque de Luxembourg Investments S.A.	BlueBay Funds Management Company S.A.	BlueOrchard Asset Management (Luxembourg) S.A.
BMO Investments II (Ireland) plc	BMO UCITS ETF ICAV	BNP Paribas Asset Management France
BNP Paribas Asset Management Luxembourg	BNP Paribas Real Estate Investment Management Germany GmbH	BNY Mellon Fund Managers Limited
BNY Mellon Global Management Limited	BNY Mellon Investment Funds ICVC	BNY Mellon Service Kapitalanlage-Gesellschaft mbH
BRANDES INVESTMENT FUNDS PLC	Brookfield Investment Funds (UCITS) p.l.c.	Brown Advisory Funds plc
C WORLDWIDE FUND MANAGEMENT S.A.	CACEIS Ireland Limited	CAIAC Fund Management AG
Calamos Global Funds plc	Candriam Belgium	Candriam France
Candriam Luxembourg	Capita Financial Managers (Ireland) Limited	Capital International Management Company Sàrl
CARMIGNAC GESTION	CARMIGNAC GESTION LUXEMBOURG	Carne Global Fund Managers (Ireland) Limited
Carne Global Fund Managers (Luxembourg) S.A.	Casa4Funds SA	Caso Asset Management S.A.
Catella Real Estate AG	Clartan Associés	Classic Fund Management AG
CM Investment Solutions Limited	CM-CIC Asset Management	Comgest Asset Management International Limited
Comgest S.A.	Commerz Funds Solutions S.A.	Commerz Real Investmentgesellschaft mbH
Conventum Asset Management	Convictions AM	C&P Funds SICAV
CPR Asset Management	CREDIT MUTUEL ASSET MANAGEMENT	Credit Suisse Asset Management Immobilien Kapitalanlagegesellschaft mbH
Credit Suisse Fund Management S.A.	Credit Suisse Funds AG	Crown Alternative UCITS plc
Cullen Funds plc	Danske Invest Management Company S.A.	DAVIS FUNDS SICAV
Davy Funds Plc	DC Developing Markets Strategies p.l.c.	Degroof Petercam Asset Management SA
Degroof Petercam Asset Services SA	Deka Immobilien Investment GmbH	Deka International S.A.
Deka Investment GmbH	Deka Vermögensmanagement GmbH	Deutsche Asset Management S.A.
Dimensional Fund Advisors Ltd.	Dimensional Funds plc	DJE Investment S.A.
DNB Asset Management S.A.	DNCA Finance	DNCA Finance Luxembourg
Dodge & Cox Worldwide Funds plc	DWS Grundbesitz GmbH	DWS Investment GmbH
DWS Investment S.A.	Dynasty AM S.A.	East Capital Asset Management AB
East Capital Asset Management S.A.	Eaton Vance Advisers (Ireland) Limited	Edgewood L Select
Edmond de Rothschild Asset Management (France)	Edmond de Rothschild Asset Management (Luxembourg)	EFG Fund Management S.A.
E.I. Sturza Funds plc	EII Real Estate Securities Advisors Limited	Eleva Capital S.A.S.
Ennismore Fund Management Ltd	Erste Asset Management GmbH	ERSTE Immobilien Kapitalanlagegesellschaft m.b.H.
Estlander & Partners Umbrella Fund Ltd.	ETHENEA Independent Investors S.A.	Eurizon Capital S.A.
EUROPEAN SICAV ALLIANCE	Evli Fund Management Company Ltd	Exane Asset Management Luxembourg
Expat Asset Management EAD	Falcon Fund Management (Luxembourg)	Federated International Management Limited
Fenja Fund Multi Strategy Limited	Ferri Trust (Luxembourg) S.A.	Fidante Partners Liquid Strategies ICAV
Fiera Capital (UK) Limited	FIL Fund Management (Ireland) Limited	FIL Investment Management (Luxembourg) S.A.
FinEx Funds plc	Finexis S.A.	First Private Investment Management KAG mbH
First State Global Umbrella Fund plc	First State Investments ICVC	First Trust Global Funds plc
FISCH FUND SERVICES AG	Flaskamp Invest S.A.	Flossbach von Storch Invest S.A.
Fondita Fund Management Company Ltd.	Franklin Templeton	Franklin Templeton International Services S.A.
Franklin Templeton International Services S.à r.l.	Fred Alger Management, Inc.	FTC FUTURES FUND SICAV
Fulcrum Asset Management LLP	FundLogic Alternatives p.l.c.	FundLogic Structured Products SICAV
FundPartner Solutions (Europe) S.A.	FundRock Management Company S.A.	Fundsmith LLP
GAM Fund Management Limited	GAM Investment Management (Switzerland) AG	GAM (Luxembourg) S.A.

AUFLISTUNG ZU DEN VON UNS ANGEBOTENEN EMITTENTEN/VERSICHERUNGS-GESELLSCHAFTEN UND ANBIETERN

GAMAX Management AG	Gemway Assets	Generali Investments Deutschland Kapitalanlagegesellschaft mbH
Generali Investments Europe S.p.A.	Generali Investments Luxembourg S.A.	Generali Investments Parnters
Generali Investments Partners S.p.A.	Global Evolution Manco	Global Fund Selection SICAV
Global X Funds	Goldman Sachs Asset Management Fund Services Limited	Goldman Sachs Asset Management Global Services Limited
GreenEffects Investment plc.	GS&P Kapitalanlagegesellschaft S. A.	GuardCap UCITS Funds plc
Gutenberg Finance SAS	Gutmann Kapitalanlageaktiengesellschaft	Hamon Ireland Limited
HANSAINVEST Hanseatische Investment-GmbH	HANSAINVEST LUX S.A.	Hauck & Aufhäuser Fund Services S.A.
Helaba Invest Kapitalanlagegesellschaft mbH	Henderson Investment Funds Limited	Henderson Management S.A.
Heptagon Fund plc	Hermes Investment Funds Public Limited Company	Horizons ETFs Management (Canada) Inc.
HSBC ETFs PLC	HSBC Global Asset Management (France)	HSBC Investment Funds (Luxembourg) S.A.
H2O AM LLP	IFM Independent Fund Management AG	iM Global Partner Asset Management S.A.
Immo Kapitalanlage AG	ING Solutions Investment Management S.A.	INKA Internationale Kapitalanlagegesellschaft mbH
InRIS UCITS plc	International Fund Management S.A.	INTER-PORTFOLIO Verwaltungsgesellschaft S.A.
IntReal International Real Estate Kapitalanlagegesellschaft mbH	Invesco Global Asset Management DAC	Invesco Investment Management Limited
Invesco Management S.A.	Investec Asset Management Luxembourg S.A.	Investec Fund Managers Limited
Investec Funds	Investmentaktiengesellschaft für langfristige Investoren TGV	INVL Asset Management UAB
IPConcept (Luxemburg) S.A.	iShares Trust	IVI UMBRELLA FUND PLC
J O Hambro Capital Management UK Umbrella Fund	J O Hambro Capital Management Umbrella Fund plc	J. Safra Sarasin Fund Management (Luxembourg) S.A.
J. Safra Sarasin Investmentfonds AG	Janus Capital Funds PLC	J.Chahine Capital
JPMorgan Asset Management (Europe) S.à r.l.	JULIUS MEINL Investment GmbH	Jupiter Asset Management International S.A.
Jupiter Global Fund, The	Jupiter Unit Trust Managers Limited	Jyske Invest Fund Management A/S
Kames Capital Investment Company (Ireland) Plc	KanAm Grund Kapitalverwaltungsgesellschaft mbH	KBA Consulting Management Limited
KBC - ACCESS FUND SICAV	KBC Asset Management NV	KBC Asset Management S.A.
KBC Equity Fund	KBC Renta	KBI Institutional Fund ICAV
KBM-INFOND	Kempen Capital Management N.V.	Kepler Liquid Strategies ICAV
KEPLER-FONDS Kapitalanlagegesellschaft mbH	Kredietrust Luxembourg S.A.	LA FINANCIERE DE L ECHIQUIER
LA FRANCAISE AM INTERNATIONAL	La Francaise Asset Management	La Francaise Asset Management GmbH
La Francaise Investment Solutions	Lantern Structured Asset Management Limited	Lazard Asset Management (Deutschland) GmbH
Lazard Freres Gestion SAS	Lazard Fund Managers (Ireland) Limited	LBBW Asset Management Investmentgesellschaft mbH
LB(Swiss) Investment AG	Legg Mason Alternative Funds ICAV	Legg Mason Global Funds PLC
Legg Mason Global Solutions plc	Lemanik Asset Management S.A.	LGIM ETF Managers Limited
LGT Capital Partners (FL) AG	LGT Capital Partners (Ireland) Limited	LGT Fund Management Company Ltd.
Liontrust Global Funds plc	Liontrust Investment Management Limited	LLB Fund Services Aktiengesellschaft
LLB Immo Kapitalanlagegesellschaft m.b.H.	LLB Invest Kapitalanlagegesellschaft m.b.H.	LLB Swiss Investment AG
Lombard Odier Asset Management (Switzerland) SA	Lombard Odier Funds (Europe) S.A.	Long Term Investment Fund (SIA)
Lord Abbett Passport Portfolios plc	LOYS Investment S.A.	LRI Capital Management S.A.
LRI Invest S.A.	Lumyna Investments Limited	Lupus alpha Investment GmbH
Lupus alpha Investment S.A.	Lyxor Asset Management S.A.S.	Lyxor Funds Solutions S.A.
Lyxor International Asset Management S.A.S.	Macquarie Investment Management Europe S.A.	Magna Umbrella Fund plc
MainFirst Affiliated Fund Managers S.A.	Man AHL Diversified plc	Man Asset Management (Ireland) Limited
Mandarine Gestion SA	MASTERINVEST Kapitalanlage GmbH	Matthews Asia Funds
MC Square S.A.	MDO Management Company S.A.	MEAG MUNICH ERGO Kapitalanlagegesellschaft mbH
MEDIOLANUM INTERNATIONAL FUNDS LIMITED	Melchior Selected Trust	Mercer Global Investments Management Limited
Merian Global Investors (Europe) Limited	Merian Global Investors Series plc	Merian Investment Management Limited
Métropole Gestion	Metzler Asset Management GmbH	Metzler Ireland Limited
MFS Investment Management Company (Lux) S.à r.l.	M&G Luxembourg S.A.	M&G Securities Limited
Mirabaud Asset Management (Europe) S.A.	MK Luxinvest S.A.	Monega Kapitalanlagegesellschaft mbH
Montanaro European Smaller Companies plc	Morgan Stanley Investment Management (ACD) Limited	Mori Capital Management Limited
Moventum Asset Management S.A.	MultiConcept Fund Management S.A.	Muzinich & Co. (Ireland) Limited
Natixis International Funds (Dublin) I plc	Natixis Investment Managers International	Neptune Investment Management Limited
NESTOR Investment Management S.A.	Neuberger Berman Europe Limited	Neuberger Berman Investment Funds plc
NGAM S.A.	NN Investment Partners B.V.	NN Investment Partners Luxembourg S.A.
Nomura Asset Management Deutschland KAG mbH	Nomura Funds Ireland plc	Nomura NEXT FUNDS Ireland plc
Noramco Asset Management S.A.	Nordea Investment Funds S.A.	NORD/LB Asset Management AG
Northern Trust Luxembourg Management Company	OAKS Emerging Umbrella Fund plc	ODDO BHF Asset Management GmbH
ODDO BHF Asset Management Lux	ODDO BHF Asset Management LUX	ODDO BHF Asset Management SAS
Odey Investment Funds plc	Odey Investments plc	ÖkoWorld Lux S.A.
Optinova Investmentaktiengesellschaft mit TGV	Ossiam	Ostrum Asset Management
Paladin Asset Management InvAG m.v.K TGV	Partners Group (UK) Limited	PETERCAM (LUXEMBOURG) S.A.
PGIM Funds plc	Pharus Management Lux S.A.	Pictet Asset Management (Europe) S.A.
Pictet Funds S.A.	PIMCO Global Advisors (Ireland) Limited	PineBridge Investments Ireland Limited
Polar Capital Funds plc	Portfolio Selection SICAV	Principal Global Investors (Ireland) Limited
Putnam Investments	Quadoro Investment GmbH	Quint:Essence Capital S.A.
Raiffeisen Immobilien Kapitalanlage-Gesellschaft m.b.H.	Raiffeisen Kapitalanlage-Gesellschaft mbH	Raiffeisen Salzburg Invest Kapitalanlage GmbH

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RAM Active Investments (Luxembourg) S.A.	Real I.S. AG Gesellschaft für Immobilien Assetmanagement	responsAbility Management Company S.A.
RH&PARTNER Investment Funds	R.I. Vermögensbetreuung AG	Robeco Institutional Asset Management B.V.
Robeco Luxembourg S.A.	Rothschild & Co Asset Management Europe	Rothschild & Co Investment Managers
Rothschild Asset Management	Rouvier Associés	Russell Investments Ireland Limited
Salus Alpha SICAV	SANSO INVESTMENT SOLUTIONS	Santander Asset Management Luxembourg S.A.
SAVA INFOND	Savills Fund Management GmbH	Schoellerbank Invest AG
Schroder Investment Management (Europe) S.A.	Schroder Unit Trusts Limited	SEB Asset Management S.A.
SEB Investment Management AB	Security Kapitalanlage Aktiengesellschaft	Seilern Investment Management (Ireland) Ltd.
Semper Constantia Immo Invest GmbH	SEVEN CAPITAL MANAGEMENT	SG/Russell Asset Management Limited
Siemens Fonds Invest GmbH	SKAGEN AS	Société de Gestion Prévoir
Société Générale Gestion	Société Générale Securities Services GmbH	Spängler IQAM Invest GmbH
Sparinvest SICAV	Sparkasse Oberösterreich Kapitalanlagegesellschaft m.b.H.	SSgA SPDR ETFs Europe I plc
SSgA SPDR ETFs Europe II plc	St. Vincent Trust Service Limited	Standard Life Investments (Mutual Funds) Limited
State Street Fondsleitung AG	State Street Fund Services (Ireland) Limited	State Street Global Advisors Luxembourg Management Sàrl
Structured Invest S.A.	SUPERFUND SICAV	Swiss Life Asset Management (France)
Swiss Life Fund Management (LUX) S.A.	Swiss Life Funds AG	Swiss Life Funds (LUX) Management Company
Swiss Life Kapitalverwaltungsgesellschaft mbH	SWISSCANTO ASSET MANAGEMENT INTERNATIONAL S.A.	Swisscanto Fondsleitung AG
Sycomore Asset Management, SA	Syd Fund Management A/S	Syquant Capital
Systematic iq plc	SYZ Asset Management (Luxembourg) S.A.	T. Rowe Price (Luxembourg) Management S.à.r.l.
Tabula ICAV	TDO Management Company S.A.	Think ETF Asset Management B.V.
Threadneedle Investments	Threadneedle Management Luxembourg S.A.	TMW Pramerica Property Investment GmbH
Tocqueville Finance S.A.	Traditional Funds plc	Triodos SICAV I
Trium UCITS Platform plc	TT International Funds PLC	TÜRKISFUND SICAV
Tweedy, Browne Value Funds	UBP Asset Management (Europe) S.A.	UBS Asset Management (Deutschland) GmbH
UBS Fund Management (Luxembourg) S.A.	UBS Fund Management (Switzerland) AG	UBS (Irl) ETF plc
UBS (Irl) Investor Selection PLC	UBS Real Estate Kapitalanlagegesellschaft mbH	UBS Third Party Management Company S.A.
Union Bancaire Gestion Institutionnelle (France) SAS	Union Investment Institutional GmbH	Union Investment Luxembourg S.A.
Union Investment Privatfonds GmbH	Union Investment Real Estate Austria AG	Union Investment Real Estate GmbH
Universal-Investment GmbH	Universal-Investment-Luxembourg S.A.	UTI Indian Fixed Income Fund plc
VanEck Asset Management B.V.	VanEck Investments Limited	VanEck Vectors ETF Trust
Vanguard Group (Ireland) Limited	Vanguard Investment Series plc	Varenne Capital Partners
Vector Asset Management S.A.	Veritas Funds plc	Veritas Investment GmbH
VITRUVIUS	von der Heydt Invest SA	Vontobel Asset Management S.A.
Vontobel Fonds Services AG	VP Fund Solutions (Liechtenstein) AG	VP Fund Solutions (Luxembourg) SA
Wallberg Invest S.A.	Walser Privatbank Invest S.A.	Warburg Invest AG
WARBURG INVEST KAPITALANLAGEGESELLSCHAFT MBH	WARBURG INVEST LUXEMBOURG S.A.	Waverton Investment Funds plc
Wellington Luxembourg S.à r.l.	Wellington Management Funds (Ireland) plc	Wells Fargo Asset Management Luxembourg S.A.
WestInvest Gesellschaft für Investmentfonds mbH	Winton Fund Management Ireland DAC	WisdomTree Management Limited
World Gold Trust Services LLC	W&W Asset Management Dublin Limited	WWK Investment S.A.
ZBI Fondsmanagement AG	1741 Fund Management AG	1741 Fund Solutions AG
3 Banken-Generali Investment-Gesellschaft mbH		

Banken/Plattformen

ADIG Fondsvertrieb GmbH (EBASE)	Augsburger Aktienbank	Baader Bank Aktiengesellschaft
bank zweiplus ag	Capitalbank	Comdirect
DAB Bank AG	DWS Fondsplattform Luxemburg	ebase
Elementum Deutschland GmbH	FFB	Fondsdepotbank
ING-DiBa AG Allgemeine Deutsche Direktbank AG	Lombard Odier Funds (Europe) S.A.	Metzler Fund Xchange
Momentum S.C.A.	Prohyp AG	Sutorbank
Universal-Investment GmbH (EBASE)	V-Bank AG	von der Heydt Invest SA
WARBURG INVEST KAPITALANLAGEGESELLSCHAFT MBH	Wüstenrot Direktion Keyaccount	